

UTILITY PATENT



B&D No. TN1444

EP 2841
#10
12-2-00
Robert
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Roger Q. SMITH**

Serial No.: **09/153,621**

Examiner: **T.Dinh**

Filed: **September 15, 1998**

Group Art Unit **2841**

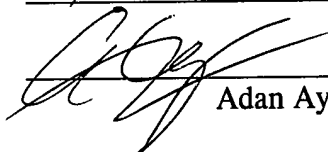
For: **HEAVY-DUTY AUDIO EQUIPMENT**

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

I, Adan Ayala, Reg. No. 38,373, certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington DC 20231 on

Nov. 15, 2000


Adan Ayala

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Dear Sir:

In compliance with 37 CFR §§1.56, 1.97(c) and 1.98, Applicant hereby submits a copy of the European Patent Office search report for the priority documents and requests that the documents listed on the attached form PTO-1449 be made of record in the above-identified application. Copies of these documents are enclosed herein.

In particular, the EPO examiner cited US Patents Nos. 4,709,201, 5,680,026 and 5,606,241, and European Patent Document No. EP 0 310 717 A and EP 0 920 062 A as "particularly relevant if combined with another document of the same category" (Category Y).

UTILITY PATENT

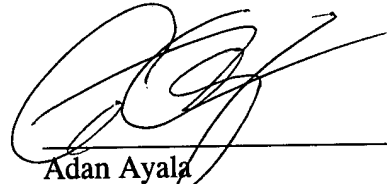
B&D No. TN1444

Each item of information contained in the present information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present information disclosure statement.

No fee is believed due. Nevertheless, the Commissioner is authorized to charge payment of any fees due in processing this statement, or credit any overpayment to Deposit Account No. 02-2548.

To discuss any aspect of this disclosure statement, or any other aspects of this application, the Examiner should call the undersigned Applicant's representative.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Adan Ayala', written over a horizontal line.

Adan Ayala
PTO Reg. No. 38,373
Attorney for Applicant

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.:

TN-1444

SERIAL NO.:

09/153,621

INFORMATION DISCLOSURE CITATION

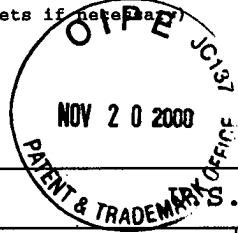
(Use several sheets if necessary)

APPLICANT:

Roger Q. Smith

FILING DATE: September 15, 1998

GROUP: 2841



S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--|-----------------|---|---|---|---|---|---|----------|-----------------------|-------|----------|----------------------------------|
| J | | 4 | 7 | 0 | 9 | 2 | 0 | 1 | 11/24/87 | H.A. Schaefer, et al. | — | — | — |
| J | | 5 | 6 | 0 | 6 | 2 | 4 | 1 | 2/25/97 | J. Patino, et al. | — | — | — |
| | | 5 | 6 | 8 | 0 | 0 | 2 | 6 | 10/21/97 | W.K. Lueschen | — | — | — |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|----|--|-----------------|---|---|---|---|---|---|---------|---------|-------|----------|-------------|----|
| | | | | | | | | | | | | | YES | NO |
| TD | | | 3 | 1 | 0 | 7 | 1 | 7 | 4/12/89 | Europe | — | — | | |
| TD | | | 9 | 2 | 0 | 0 | 6 | 2 | 6/2/99 | Europe | — | — | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|--|--|
| | | European Search Report for European Application No. 99307059 |
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EXAMINER

TUAN DINH

DATE CONSIDERED

3/7/01

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.